

PART B - FEE(S) TRANSMITTAL

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Name of Person Signing: Kristen Lemme

Signature: /Kristen Lemme/ Date: 11 November 2009

35938 7590 10/30/2009

BioTechnology Law Group
12707 High Bluff Drive
Suite 200
San Diego, CA 92130-2037

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/773,792 02/06/2004 Thomas W. Dubensky JR. ANZ-1200-UT2 8458

TITLE OF INVENTION: LISTERIA ATTENUATED FOR ENTRY INTO NON-PHAGOCYtic CELLS, VACCINES COMPRISING THE LISTERIA, AND METHODS OF USE THEREOF

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
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nonprovisional YES \$755 \$300 \$0 \$1055 02/01/2010

EXAMINER	ART UNIT	CLASS-SUBCLASS
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GRASER, JENNIFER E 1645 424-235100

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Michael A. Whittaker
BioTechnology Law Group
2
3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

ANZA THERAPEUTICS, INC.

Concord, California, USA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

☒ Issue Fee

☒ Publication Fee (No small entity discount permitted)

☐ Advance Order - # of Copies _____

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5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature /Michael A. Whittaker/

Date 11 November 2009

Typed or printed name Michael A. Whittaker

Registration No. 46230

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